

STATE OF CONNECTICUT DEPARTMENT OF SOCIAL SERVICES

CONTRACT AMENDMENT

Contractor:

Career Resources, Inc.

Contractor Address:

350 Fairfield Avenue, Bridgeport, CT 06604

Contract Number:

15DSS1401CZ / 015CR-FIP-13

Amendment Number:

Amendment 2

Amount as Amended:

\$238,303.00

Contract Term as Amended: 7/1/2015 to 6/30/2019

The contract between Career Resources, Inc. ("Contractor") and the Connecticut Department of Social Services ("Department"), which was last executed by the parties and approved by the office of the Attorney General on 12/9/15, and previously amended on 8/15/16 is hereby further amended as follows:

- 1. The term of the contract is extended for an additional two (2) years and the end date of the contract is changed from 6/30/17 to 6/30/19.
- The total maximum amount payable under the contract has increased by \$92,063 (\$45,124 in SFY 18 and \$46,939 in SFY 19) from \$146,240 to \$238,303 to provide funding for the extended term of the contract.
- 3. For the period 7/1/17 through 6/30/19, Part I, Section B. labeled DESCRIPTION OF SERVICES, subsection 2. of the contract shall be supplemented by the addition of the following:
 - 2. The Contractor shall serve an additional 100 individuals through the two years of this contract; 50 in 2018 and 50 in 2019, resulting in a grand total of at least 250 individuals served over the four year contract period. If the final number of clients to be served falls within a 10% variance of the targeted number each year, the department will accept and acknowledge those final numbers as successfully achieving the contract deliverable.
- Part I, Section P. labeled MISCELLANEOUS PROVISIONS of the contract is hereby amended by the addition of the following sections 5. and 6. as follows:

5. Lobbying

Federal grant funds provided under this award may not be used by the grantee or any sub-grantee to support lobbying activities to influence proposed or pending Federal or State legislation or appropriations. This prohibition is related to the use of Federal grants funds and is not intended to affect an individual's right or that of any organization, to petition Congress, or any other level of Government, through the use of other resources.

6. Pro Children Act

In accordance with Part C of Public Law 103-227, the "Pro-Children Act of 1994," smoking may not be permitted in any portion of any indoor facility owned or regularly used for the provision of health, day care, education, or library services to children under the age of 18, if the services are funded by Federal programs whether directly or through State or local governments. Federal programs include grants, cooperative agreements, loans and loan guarantees, and contracts. The law does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, and portions or facilities and used for inpatient drug and alcohol treatment.

- 5. DSS contract/contact person on page 1 of the original contract shall be deleted and replaced as follows: Donna LoCurto at (860) 424-5323.
- 6. For the period 7/1/17 through 6/30/19, Part I, Section L. labeled BUDGET/PAYMENT PROVISIONS, subsection 4.a. of this contract shall be deleted and replaced with the following:

Effective Date: 5/19/2017

CONTRACT NUMBER: 15DSS1401CZ

CONTRACT PERIOD: 07/01/2015 through 06/30/2019

ST FISCAL YR (SFY): 2018

PROVIDER: Career Resources

Approved by: locurtod

	Approved by. Totaltou										
4000	Program Funding Period:			FIP							
				/01/2017 ugh June 30 2018	07/01/2017 through June 30 2018	Contract Total		Other Funding		<u>Total Income</u>	
	4100 CONTRACT FUNDING	SID	\$	45,124	\$ -	\$	45,124	\$		\$	45,124
	4101 State Funds	16270	\$	45,124	•	\$	45,124	\$	-	\$	45,124
	<u>TOTAL INCOME</u>		\$	<u>45,124</u>	<u>\$</u>	<u>\$</u>	<u>45,124</u>	\$	20,000	\$	65,124
5000	000 DIRECT EXPENSES			FIP		Cont	ract Total			To	tal Expenses
	5100 SALARIES		\$	33,600	\$ -	\$	33,600	\$	6,400	\$	40,000
	5101 Staff Salaries & Wages 5200 FRINGE BENEFITS 5400 TRANSPORTATION 5401 Staff Travel Reimbursement TOTAL DIRECT EXPENSES 7000 INDIRECT EXPENSES			33,600	\$ -	\$	33,600	\$	<mark>6,40</mark> 0	\$	40,000
				11,424	\$ -	\$	11,424	Ş	2,176	\$	13,600
				100	\$ -	\$	100	\$	650	\$	750
				100		\$	100	\$	650	\$	7 50
				45,124	<u>\$</u>	\$	<u>45,124</u>	\$	13,943	\$	59,067
7000				<u>FIP</u>		Cont	ract Total			To	tal Expenses
TOTAL INDIRECT EXPENSES			\$	-	<u>\$</u>	\$		\$	<mark>6,057</mark>	\$	6,057
TOTAL EXPENSES			\$	<u>45,124</u>	<u>\$</u>	\$	<u>45,124</u>	\$	20,000	\$	65,124
INCOME/EXPENSE SUMMARY TOTAL INCOME TOTAL EXPENSES			105	FIP		Cont	ract Total				Total
			\$	45,124	\$ -	\$	45,124	\$	20,000	\$	65,124
			\$	45,124	\$ -	\$	45,124	\$	20,000	\$	65,124
EXCESS/(SHORTAGE)			\$	•	\$ -	\$	0	Ś		\$	0

All terms and conditions of the original Contract, and any subsequent amendments thereto, which were not modified by this Amendment remain in full force and effect.

SIGNATURES AND APPROVALS

15DSS1401CZ/015CR-FIP-13 A2

The Contractor IS NOT a Business Associate under the Health Insurance Portability and Accountability Act of 1996 as amended.

CONTRACTOR	
Career Resources, Inc.	
Scott K. Wilderman, Executive Director	6/18/17 Date
DEPARTMENT OF SOCIAL SERVICES	
RODERICK L. BREMBY, Commissioner	6 13 2017 Date
OFFICE OF THE ATTORNEY GENERAL	
	61/91/7
ASST. / ASSOC. ATTORNEY GENERAL (Approved as to form)	Date
165-PA KLDIN ASSOC ATTY GENERAL	



STATE OF CONNECTICUT

NONDISCRIMINATION CERTIFICATION - Affidavit

By Entity

Commissioner of the Superior Court/ Notary Public

For Contracts Valued at \$50,000 or More

Documentation in the form of an <u>affidavit signed under penalty of false statement by a chief executive</u> <u>officer, president, chairperson, member, or other corporate officer duly authorized to adopt corporate, company, or partnership policy</u> that certifies the contractor complies with the nondiscrimination agreements and warranties under Connecticut General Statutes §§ 4a-60 and 4a-60a, as amended

INSTRUCTIONS:

For use by an <u>entity</u> (corporation, limited liability company, or partnership) when entering into any contract type with the State of Connecticut valued at <u>\$50,000 or more</u> for any year of the contract. Complete all sections of the form. Sign form in the presence of a Commissioner of Superior Court or Notary Public. Submit to the awarding State agency prior to contract execution.

AFFIDAVIT: I, the undersigned, am over the age of eighteen (18) and understand and appreciate the obligations of of Career Resources, Inc. an oath. I am President/CEO Name of Entity Signatory's Title Connecticut duly formed and existing under the laws of Name of State or Commonwealth I certify that I am authorized to execute and deliver this affidavit on behalf of Career Resources, Inc. Career Resources, Inc. and that Name of Entity Name of Entity has a policy in place that complies with the nondiscrimination agreements and warranties of Connecticut General Statutes §§ 4a-60 and 4a-60a, as amended. Scott K. Wilderman Printed Name Sworn and subscribed to before me on this



STATE OF CONNECTICUT GIFT AND CAMPAIGN CONTRIBUTION CERTIFICATION

Written or electronic certification to accompany a State contract with a value of \$50,000 or more, pursuant to C.G.S. §§ 4-250, 4-252(c) and 9-612(f)(2) and Governor Dannel P. Malloy's Executive Order 49.

INSTRUCTIONS:

Complete all sections of the form. Attach additional pages, if necessary, to provide full disclosure about any lawful campaign contributions made to campaigns of candidates for statewide public office or the General Assembly, as described herein. Sign and date the form, under oath, in the presence of a Commissioner of the Superior Court or Notary Public. Submit the completed form to the awarding State agency at the time of initial contract execution and if there is a change in the information contained in the most recently filled certification, such person shall submit an updated certification either (i) not later than thirty (30) days after the effective date of such change or (ii) upon the submittal of any new bid or proposal for a contract, whichever is earlier. Such person shall also submit an accurate, updated certification not later than fourteen days after the twelve-month anniversary of the most recently filed certification or updated certification.

CHECK ONE:	V	Initial Certification		12 Month Anniversary Update (Multi-year contracts only.)			
		Updated Certification because of change of information contained in the most recently filed certification or twelve-month anniversary update,					

GIFT CERTIFICATION:

As used in this certification, the following terms have the meaning set forth below:

- "Contract" means that contract between the State of Connecticut (and/or one or more of it agencies or instrumentalities) and the Contractor, attached hereto, or as otherwise described by the awarding State agency below;
- 2) If this is an Initial Certification, "Execution Date" means the date the Contract is fully executed by, and becomes effective between, the parties; if this is a twelve-month anniversary update, "Execution Date" means the date this certification is signed by the Contractor;
- "Contractor" means the person, firm or corporation named as the contactor below;
- 4) "Applicable Public Official or State Employee" means any public official or state employee described in C.G.S. §4-252(c)(1)(l) or (li);
- 5) "Gift" has the same meaning given that term in C.G.S. § 4-250(1);
- 6) "Principals or Key Personnel" means and refers to those principals and key personnel of the Contractor, and its or their agents, as described in C.G.S. §§ 4-250(5) and 4-252(c)(1)(B) and (C).

I, the undersigned, am a Principal or Key Personnel of the person, firm or corporation authorized to execute this certification on behalf of the Contractor. I hereby certify that, no gifts were made by (A) such person, firm, corporation, (B) any principals and key personnel of the person firm or corporation who participate substantially in preparing bids, proposals or negotiating state contracts or (C) any agent of such, firm, corporation, or principals or key personnel who participates substantially in preparing bids, proposals or negotiating state contracts, to (i) any public official or state employee of the state agency or quasi-public agency soliciting bids or proposals for state contracts who participates substantially in the preparation of bid solicitations or request for proposals for state contracts or the negotiation or award of state contracts or (ii) any public official or state employee of any other state agency, who has supervisory or appointing authority over such state agency or quasi-public agency.

I further certify that no Principals or Key Personnel know of any action by the Contractor to circumvent (or which would result in the circumvention of) the above certification regarding **Gifts** by providing for any other Principals, Key Personnel, officials, or employees of the Contractor, or its or their agents, to make a **Gift** to any Applicable Public Official or State Employee. I further certify that the Contractor made the bid or proposal for the Contract without fraud or collusion with any person.

CAMPAIGN CONTRIBUTION CERTIFICATION:

I further certify that, on or after January 1, 2011, neither the Contractor nor any of its principals, as defined in C.G.S. § 9-612(f)(1), has made any campaign contributions to, or solicited any contributions on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support, any candidate for statewide public office, in violation of C.G.S. § 9-612(f)(2)(A). I further certify that all lawful campaign contributions that have been made on or after January 1, 2011 by the Contractor or any of its principals, as defined in C.G.S. § 9-612(f)(1), to, or solicited on behalf of, any exploratory committee, candidate committee, political committee, or party committee established by, or supporting or authorized to support any candidates for statewide public office or the General Assembly, are listed below:

Lawful Campaign	Contributions to Candid	lates for Statewid	e Public Office):			
Contribution Date	Name of Contributor	Recipient	<u>Value</u>	Description			
Not Applicable							
	V						
Lawful Campaign	Contributions to Candid	ates for the Gene	ral Assembly:				
Contribution Date	Name of Contributor	Recipient	<u>Value</u>	<u>Description</u>			
Not Applicable							
Sworn as true to th	e best of my knowledge ar	nd belief, subject to	the penalties of	false statement,			
Career Resource			Scott K. Wilderman				
Printed Contractor	Mame Milli-	Printed Na	ame of Author	ized Official			
Signature of Auth	orized Official	10	1 . 1	107			
Subscribed and a		Tring!	F	t (or Notary Public)			



STATE OF CONNECTICUT CONSULTING AGREEMENT AFFIDAVIT

Affidavit to accompany a bid or proposal for the purchase of goods and services with a value of \$50,000 or more in a calendar or fiscal year, pursuant to Connecticut General Statutes §§ 4a-81(a) and 4a-81(b). For sole source or no bid contracts the form is submitted at time of contract execution.

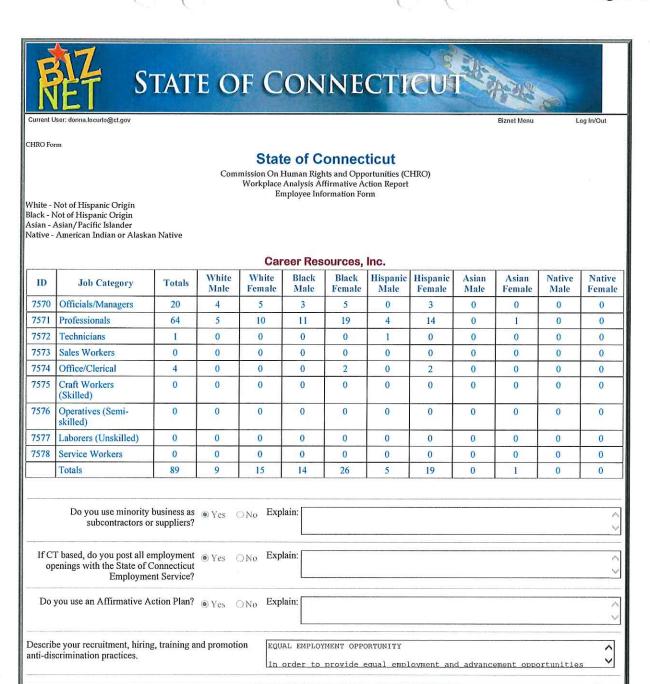
INSTRUCTIONS:

If the bidder or vendor has entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete all sections of the form. If the bidder or contractor has entered into more than one such consulting agreement, use a separate form for each agreement. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public. If the bidder or contractor has not entered into a consulting agreement, as defined by Connecticut General Statutes § 4a-81(b)(1): Complete only the shaded section of the form. Sign and date the form in the presence of a Commissioner of the Superior Court or Notary Public.

Submit completed form to the awarding State agency with bid or proposal. For a sole source award, submit completed form to the awarding State agency at the time of contract execution.

This affidavit must be amended if there is any change in the information contained in the most recently filed affidavit not later than (i) thirty days after the effective date of any such change or (ii) upon the submittal of any new bid or proposal, whichever is earlier.

	proposal, whichever is		ate of any sach change o	(ii) apoir the sashintar si
AFFIDAVIT:	[Number of Affidavlt	s Sworn and Subscri	bed On This Day:	.]
a contract, as o such a contract	described in Connection who is authorized to	cut General Statute execute such contra	s § 4a-81(b), or that I a	dder or contractor awarded am the individual awarded have not entered into any nt listed below;
Ćonsultant's Na	me and Title		Name of Firm (if applica	ible)
Start Date	End Dat	e	Cost	-
Description of S	ervices Provided:			
If YES:	t a former State empl f Former State Agenc		ic official? YES	□ NO
Sworn as true to	the best of my know	ledge and belief, su	bject to the penalties of f	alse statement.
Corces To Printed Name of	Bidder or Contractor	Signature of Princ	ipal or Key Personnel	<u> </u>
		Scott V.u	uldernan	Da
		Printed Name (of ab	ove)	Awarding State Agency
Sworn and sub	scribed before me	on this d	ay of April	20.4.
			of the Superior Court	
		or Notary Pub	1 /17	
		8/8	14	•1
		My Commissio	n Expires	



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ns apply.